

**Amendment and Response**

Applicant: Francisco Corella

Serial No.: 09/483,186

Filed: January 14, 2000

Docket No.: 10001559-1/H300.126.101

Title: LIGHTWEIGHT PUBLIC KEY INFRASTRUCTURE EMPLOYING UNSIGNED CERTIFICATES**IN THE CLAIMS**

Please amend claims 1 and 13 as follows:

1. (Currently Amended) A public key infrastructure (PKI) comprising:  
a subject;  
a certificate authority issuing a first certificate to the subject, the first certificate including a public key of the subject, long-term identification information related to the subject, and meta-data related to the first certificate, wherein the first certificate is not signed by the certificate authority, the certificate authority maintaining a database of records representing issued certificates in which it stores a record representing the first certificate, wherein the issued certificates are not signed by the certificate authority and are valid until at least one of revoked by the certificate authority and expired; and  
a verifier maintaining a hash table containing cryptographic hashes of valid certificates corresponding to the records stored in the database and including a cryptographic hash of the first certificate, wherein the subject presents the issued first certificate to the verifier for authentication and demonstrates that the subject has knowledge of a private key corresponding to the public key in the first certificate.
2. (Previously Presented) The PKI of claim 1 wherein the first certificate includes an expiration date/time.
3. (Previously Presented) The PKI of claim 1 wherein the first certificate does not include an expiration date/time.
4. (Original) The PKI of claim 1 wherein the private key is stored in a smartcard accessible by the subject.
5. (Original) The PKI of claim 1 wherein the private key is stored in a secure software wallet accessible by the subject.
6. (Previously Presented) The PKI of claim 1 wherein the verifier computes the

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cryptographic hash of the first certificate with a collision-resistant hash function.

7. (Original) The PKI of claim 6 wherein the collision-resistant hash function is a SHA-1 hash function.
8. (Original) The PKI of claim 6 wherein the collision-resistant hash function is a MD5 hash function.
9. (Previously Presented) The PKI of claim 1 wherein the certificate authority and the verifier operate to revoke the first certificate when at least a portion of the long-term identification information related to the subject no longer applies to the subject.
10. (Previously Presented) The PKI of claim 1 wherein the certificate authority and the verifier perform a revocation protocol to revoke the first certificate when at least one of the private key is comprised and at least a portion of the long-term identification information related to the subject no longer applies to the subject, the revocation protocol including:
  - the certificate authority retrieving a record representing the first certificate from the database and obtaining a cryptographic hash of the first certificate;
  - the certificate authority sending a message to verifier containing the cryptographic hash of the first certificate and requesting that the verifier remove the corresponding cryptographic hash of the first certificate from its hash table;
  - the verifier removing the cryptographic hash of the first certificate from its hash table and notifying the certificate authority that it has removed the cryptographic hash of the first certificate from its hash table; and
  - the certificate authority collecting the notification sent by the verifier.
11. (Previously Presented) The PKI of claim 10 wherein the revocation protocol includes the certificate authority marking the record of the first certificate in the database as being invalid, for auditing purposes.
12. (Previously Presented) The PKI of claim 10 wherein the revocation protocol includes

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the certificate authority deleting the record representing the first certificate from the database.

13. (Currently Amended) A method of authenticating a subject to a verifier in a public key infrastructure (PKI), the method comprising the steps of:

issuing a first certificate from a certificate authority to the subject, the first certificate including a public key of the subject, long-term identification information related to the subject, and meta-data related to the first certificate, wherein the first certificate is not signed by the certificate authority;

maintaining, at the certificate authority, a database of records representing issued certificates that are not signed by the certificate authority and are valid until at least one of revoked by the certificate authority and expired;

storing a record representing the first certificate in the database;

maintaining, at the verifier, a hash table containing cryptographic hashes of valid certificates corresponding to the records stored in the database and including a cryptographic hash of the first certificate;

presenting the issued first certificate from the subject to the verifier for authentication;

demonstrating, by the subject, that the subject has knowledge of a private key corresponding to the public key in the first certificate.

14. (Previously Presented) The method of claim 13 wherein the first certificate includes an expiration date/time.

15. (Previously Presented) The method of claim 13 wherein the first certificate does not include an expiration date/time.

16. (Original) The method of claim 13 further comprising the step of:  
storing the private key in a smartcard accessible by the subject.

17. (Original) The method of claim 13 further comprising the step of:  
storing the private key in a secure software wallet accessible by the subject.

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18. (Previously Presented) The method of claim 13 further comprising the step of:  
computing, by the verifier, the cryptographic hash of the first certificate with a  
collision-resistant hash function.
19. (Original) The method of claim 18 wherein the collision-resistant hash function is a  
SHA-1 hash function.
20. (Original) The method of claim 18 wherein the collision-resistant hash function is a  
MD5 hash function.
21. (Previously Presented) The method of claim 13 further comprising the step of:  
revoking the first certificate when at least a portion of the long-term identification  
information related to the subject no longer applies to the subject.
22. (Previously Presented) The method of claim 13 further comprising revoking the first  
certificate when at least one of the private key is comprised and at least a portion of the long-  
term identification information related to the subject no longer applies to the subject, the  
revoking including:  
retrieving the record representing the first certificate from the certificate database and  
obtaining a cryptographic hash of the first certificate;  
sending a message from certificate authority to verifier containing the cryptographic  
hash of the first certificate;  
requesting that the verifier remove the corresponding cryptographic hash of the first  
certificate from its hash table;  
removing the cryptographic hash of the first certificate from the hash table;  
notifying the certificate authority that the cryptographic hash of the first certificate is  
removed from the hash table; and  
collecting, at the certificate authority, the notification sent in the notifying step.
23. (Previously Presented) The method of claim 22 wherein the revoking step further  
includes:

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marking the record representing the first certificate in the database as being invalid, for auditing purposes.

24. (Previously Presented) The method of claim 22 wherein the revoking step further includes:

deleting the record representing the first certificate from the database.

25. (Previously Presented) The PKI of claim 1 wherein the meta-data includes at least one of a serial number of the first certificate and a name of the certificate authority.

26. (Previously Presented) The PKI of claim 1 wherein the long-term identification information related to the subject includes at least one of the subjects' name and a number identifying the subject.

27. (Previously Presented) The PKI of claim 1 wherein the certificate authority and the verifier operate to revoke the first certificate when the private key corresponding to the public key in the first certificate is compromised.

28. (Previously Presented) The method of claim 13 further comprising:  
revoking the first certificate when the private key corresponding to the public key in the first certificate is compromised.